00862.022336.1

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
KAZUTAKA YANAGITA ET AL.	: Examiner: Davis D. Hwu ) : Group Art Unit: 3752
Application No.: 10/644,032	) :
Filed: August 20, 2003	) : )
For: METHOD AND APPARATUS	) : Ianuary 6, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, Applicants direct the Examiner's attention to the documents listed on the enclosed PTO-1449 form.

The listed information was cited in the parent of the present divisional application, Application No. 09/930,137, and might be deemed pertinent for the reasons given there. The Examiner is respectfully directed to the files of the U.S. Patent and Trademark Office for review of those documents. (See 37 C.F.R. § 1.98(d) and MPEP § 609.) Additionally, the Examiner is requested to indicate that the listed information has been considered by initialing the appropriate portions of the enclosed PTO-1449 form and returning a copy of same to Applicants' attorneys of record.

The following applications were cited in the parent and have now been issued as the following U.S. Patents or Patent Publications:

		<u>U.S. PATENT or</u>
APPLICATION NO.	<b>ISSUE DATE</b>	PUBLICATION NO.
09/096,285	08/06/02	6,427,747
09/211,757	07/16/02	6,418,999
09/211,876	08/20/02	6,436,226
09/359,575	08/06/02	6,427,748
09/347,260	02/26/02	6,350,703
09/047,327	05/07/02	6,382,292
09/534,340	10/22/02	6,468,923
09/592,559	09/10/02	6,448,155
09/332,102	11/06/01	6,313,014
09/749,730	11/08/01	20010038153
09/935,591	04/18/02	20020045237

The following U.S. application was also cited in the parent application:

APPLICATION NO.	FILING DATE	TC/ART UNIT
09/399,643	9/20/99	Unknown

A copy of the cited U.S. application, as originally filed, was provided in the parent.

## **CONCLUSION**

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

Attorney for Applicants

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FORM PTO 1449 (modified)		ATTY DOCKET NO. 00862.022336.1	APPLICATION NO. 10/644,032						
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PECONOCIONAL LIST OF REFERENCES CITED BY APPLICANT(S)		APPLICANTS KAZUTAKA YANAGITA ET AL.	APPLICANTS						
LIO		e several sheets if neces	Sary) 9 2004	FILING DATE GROUP 3752			752		
				U.S. PATENT DOCUMENTS		3 30 40			
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		5,458,755	10/17/95	Fujiyama et al.	204	224 R			
		5,371,037	12/6/94	Yonehara	437	86			
		5,856,229	1/5/99	Sakuguchi et al.	483	406			
		5,811,348	9/22/98	Matsushita et al.	438	455			
		6,107,213	8/22/00	Tayanaka	438	762			
		5,863,830	1/26/99	Bruel et al.	438	478			
		5,360,748	11/1/94	Nadahara et al.	437	11			
		6,186,193B1	2/13/01	Phallen et al.	141	83			
		6,391,743B1	5/21/02	lwane et al.	438	458			
			FO	REIGN PATENT DOCUMENTS	.l.				
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
	wo	98/52216	11/19/98	РСТ					
	EP	0 867 917	9/30/98	Europe					
		7-302889	11/14/95	Japan	-		Abstract		
		11-45840	2/16/99	Japan			Abstract		
		5-21338	1/29/93	Japan			Abstract		
		1	OTHER DOCUMENT(S	S) (Including Author, Title, Date, Pertinent Pages, Etc.)					
R.P. Holmstrom et al., "Complete dielectric isolation by highly selective and self-stopping formation of oxidized porous silicon", Appl. Phys. Lett 42(4), pp. 386-388, 15 February 1983.									
	A. Uhlir, Jr., "Electrolytic Shaping of Germanium and Silicon", The Bell System Technical Journal, Volume XXXV 1956, pp. 333-347.								
	K. Nagano et al., "Oxidized Porous Silicon and It's Application", IEICE, vol. 79, pp. 49-54, SSD79-9549, 1979.								
	T. Unagami, "Formation Mechanism of Porous Silicon Layer by Anodization in HF Solution", Journal of the Electrochemical Society, Vol. 127, No. 2, pp. 476-483, February 1980								
EVALUE -				DATE CONCIDENCE		, , , , , , ,	- P		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)		ATTY DOCKET NO. APPLICATION NO. 10/644,032					
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK PRICE  LIST OF REFERENCES CITED BY APPLICANT(S)  (Use several sheets if nelessary)		APPLICANTS KAZUTAKA YANAGITA ET AL.					
		FILING DATE August 20, 2003		GROUP <b>3752</b>			
		TO POADEN	7	U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE
	6,427,747	08/06/02		Omi et al.	156	584	
	6,418,999	07/16/02		Yanagita et al.	156	584	
	6,436,226	08/20/02		Omi et al.	156	344	
	6,427,748	08/06/02		Yanagita et al.	156	584	
	6,350,703	02/26/02		Sakaguchi et al.	438	766	
	6,382,292	05/07/02		Ohmi et al.	156	584	
	6,468,923	10/22/02		Yonehara et al.	438	761	
	6,448,155	09/10/02		lwasaki et al.	438	464	
	6,313,014	11/06/01		Sakaguchi et al.	438	475	
	20010038153	11/08/01		Sakaguchi et al.		,	
	20020045237	04/18/02		Yanagita et al.			
			FOR	REIGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
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		OTHER DOCUM	MENT(S	S) (Including Author, Title, Date, Pertinent Pages, Etc.)			
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